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7	Attorneys for the United States	
8		
9	UNITED STATES DISTRICT COURT	
10	NORTHERN DISTRICT OF CALIFORNIA	
11		
12	UNITED STATES OF AMERICA)	No. CR 01 - 0242
13		INFORMATION
14	V.)	
15	AKZO NOBEL CHEMICALS BV)	VIOLATION: Title 15, United States Code,
16	and)	Section 1 (Price Fixing, Market Share Allocation)
17	ERIK ANDERS BROSTRÖM,) Defendants.)	Filed: June 27, 2001
18	Defendants.)	San Francisco Venue
19		
20	The United States of America, acting through its attorneys, charges:	
21		I.
22	DESCRIPTION OF THE OFFENSE	
23	1. AKZO NOBEL CHEMICALS BV ("AKZO") and ERIK ANDERS	
24	BROSTRÖM are made defendants on the charge stated below.	
25	2. From in or about September 1995 until in or about August 1999,	
26	defendants AKZO and BROSTRÖM and	l coconspirators entered into and engaged in
	INFORMATION - PAGE 1	

a combination and conspiracy to suppress and eliminate competition by fixing the prices and allocating the market shares of monochloroacetic acid and sodium monochloroacetate (collectively referred to as "MCAA") to be sold in the United States and elsewhere. The combination and conspiracy engaged in by the defendants and coconspirators was in unreasonable restraint of interstate and foreign trade and commerce in violation of Section 1 of the Sherman Act (15 U.S.C. § 1).

3. The charged combination and conspiracy consisted of a continuing

- 3. The charged combination and conspiracy consisted of a continuing agreement, understanding, and concert of action among the defendants and coconspirators, the substantial terms of which were:
 - (a) to agree to fix and maintain prices and to coordinate price increases for MCAA to be sold in the United States and elsewhere; and
 - (b) to agree to allocate among major MCAA producers the market shares of MCAA to be sold by each in the United States and elsewhere.
- 4. For the purpose of forming and carrying out the charged combination and conspiracy, defendants and coconspirators did those things that they combined and conspired to do, including, among other things:
 - (a) participating in meetings and conversations to discuss the prices and market shares of MCAA to be sold in the United States and elsewhere:
 - (b) agreeing, during those meetings and conversations, to charge prices at certain levels and otherwise to increase and maintain prices of MCAA to be sold in the United States and elsewhere;
- (c) agreeing, during those meetings and conversations, to allocate $\label{eq:information} \text{INFORMATION PAGE 2}$

1	among major producers of MCAA the market shares of MCAA to	
2	be sold in the United States and elsewhere;	
3	(d) issuing price announcements and price quotations in accordance	
4	with the agreements reached; and	
5	(e) exchanging information on sales of MCAA in the United States	
6	and elsewhere for the purpose of monitoring and enforcing	
7	adherence to the agreed-upon prices and market shares.	
8	II.	
9	<u>DEFENDANTS AND COCONSPIRATORS</u>	
10	5. AKZO is a corporation organized and existing under the laws of The	
11	Netherlands. BROSTRÖM is the Sub-Business Unit Manager of AKZO's Industrial	
12	Chemicals Division. During the period covered by this Information, AKZO and	
13	BROSTRÖM were engaged in the business of producing and selling MCAA to	
14	customers in the United States and elsewhere.	
15	6. Various corporations and individuals, not made defendants in this	
16	Information, participated as coconspirators in the offense charged in this	
17	Information and performed acts and made statements in furtherance of it.	
18	7. Whenever in this Information reference is made to any act, deed, or	
19	transaction of any corporation, the reference means that the corporation engaged in	
20	the act, deed, or transaction by or through its officers, directors, employees, agents,	
21	or other representatives while they were actively engaged in the management,	
22	direction, control, or transaction of its business or affairs.	
23	III.	
24	TRADE AND COMMERCE	
25	8. MCAA is a reactive chemical compound that is used to form a number	
26	of intermediate chemicals. Markets for MCAA and derivatives include drilling	
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fluids, plastic stabilizers, herbicides, and pharmaceuticals. 1 2 During the period covered by this Information, the defendants and coconspirators sold and distributed MCAA in a continuous and uninterrupted flow 3 of interstate and foreign trade and commerce to customers located in states or 4 countries other than the states or countries in which the defendants and 5 coconspirators produced MCAA. 6 The business activities of the defendants and coconspirators that are 7 10. the subject of this Information were within the flow of, and substantially affected, 8 9 interstate and foreign trade and commerce. 10 /// 111 11 12 /// /// 13 /// 14 15 /// /// 16 17 /// 18 /// /// 19 20 /// /// 21 /// 22 23 /// 24 /// 25 /// 26 /// **INFORMATION - PAGE 4**

IV. 1 2 **JURISDICTION** 3 11. The combination and conspiracy charged in this Information was carried out within the five years preceding the filing of this Information. 4 ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1. 5 6 7 "/s/" "/s/" 8 Christopher S Crook Charles A. James **Assistant Attorney General** Chief. San Francisco Office 9 10 11 "/S/" "/s/" James M. Griffin 12 Marc Siegel Deputy Assistant Attorney General Richard B. Cohen Lisa V. Tenorio 13 Keslie Stewart 14 Dana R. Wagner 15 Attorneys U.S. Department of Justice Antitrust Division 16 Scott D. Hammond 450 Golden Gate Ave. Director of Criminal Enforcement Box 36046, Room 10-0101 17 San Francisco, CA 94102 (415) 436-6660 18 United States Department of Justice Antitrust Division 19 20 21 Robert S. Mueller, III United States Attorney Northern District of California 22 23 24 25 26 **INFORMATION - PAGE 5**